



CITY OF DUNKIRK

R02-18-A-002

Department of Planning and Development

City Hall, Dunkirk, New York 14048

www.dunkirktoday.com

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Director

716-366-9879

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November 6, 2017

EPA Region 2
Lya Theodoratos
290 Broadway; 18th Floor
New York, NY 10007

Dear Mrs. Theodoratos,

The City of Dunkirk, located at 342 Central Avenue in Dunkirk, New York 14048, will be applying for 2018 EPA community-wide assessment funds. The City is requesting \$200,000 for a community-wide assessment of brownfield properties. The City is not requesting a waiver for a site-specific proposal. The brownfield contamination focus will be strictly on hazardous substances within the City of Dunkirk.

The proposed grant application is meant to serve the brownfield community within the City of Dunkirk, which is located in Chautauqua County and within Western New York. The assessment grant that the City is applying for is a community-wide application and therefore does not require site-specific property information.

The proposed project's main point of contact and is Rebecca Yanus, Director of Planning and Development. The project director can be reached by phone; 716-969-6955, by email; ryanus@cityofdunkirk.com, and by mail; 342 Central Ave. Dunkirk, New York 14048. The project director will be responsible for the project's day-to-day operations. The project director may also be contacted if other information is needed. The highest ranked elected official in the City of Dunkirk is Mayor Wilfred Rosas. The Mayor can be reached by phone; 716-366-9881, by email; wrosas@cityofdunkirk.com, and by mail; 342 Central Ave. Dunkirk, New York 14048. The Mayor may be contacted if additional information is needed.

The City of Dunkirk is a community made up of a population of 12,563 people. The City of Dunkirk is not located within a county experiencing "persistent poverty", yet currently Chautauqua County does contain poverty levels at 18.9% based on 2011-2015 ACS 5-year estimates. Attached one will find the regional priority form/other factors checklist. This form identifies which items are applicable to the application. In addition, a letter of acknowledgement from New York State DEC has been sent and a letter is waiting to be obtained.

Sincerely,

A handwritten signature in blue ink that reads "Rebecca Yanus".

Rebecca Yanus,
Director of Planning and Development

Appendix 3 - Regional Priorities Form/Other Factors Checklist

Name of Applicant: City of Dunkirk

Regional Priorities Other Factor

If your proposed Brownfields Assessment project will advance the regional priority(ies) identified in Section I.F., please indicate the regional priority(ies) and the page number(s) for where the information can be found within your 15-page narrative. Only address the priority(ies) for the region in which your project is located. EPA will verify these disclosures prior to selection and may consider this information during the selection process. If this information is not clearly discussed in your narrative proposal, it will not be considered during the selection process.

Regional Priority Title(s):

Assistance to communities that have limited in-house capacity to manage brownfield projects

Page Number(s): 9

Assessment Other Factors Checklist

Please identify (with an **X**) which, if any, of the below items apply to your community or your project as described in your proposal. To be considered for an Other Factor, you must include the page number where each applicable factor is discussed in your proposal. EPA will verify these disclosures prior to selection and may consider this information during the selection process. If this information is not clearly discussed in your narrative proposal or in any other attachments, it will not be considered during the selection process.

Other Factor	Page #
<i>None of the Other Factors are applicable.</i>	
Community population is 10,000 or less.	
The jurisdiction is located within, or includes, a county experiencing "persistent poverty" where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.	
Applicant is, or will assist, a federally recognized Indian tribe or United States territory.	
Target brownfield sites are impacted by mine-scarred land.	
Project is primarily focusing on Phase II assessments.	
Applicant demonstrates firm leveraging commitments for facilitating brownfield project completion, by identifying in the proposal the amounts and contributors of resources and including documentation that ties directly to the project.	3,9,11
Applicant is a recipient of an EPA Brownfields Area-Wide Planning grant.	

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Division of Environmental Remediation, Bureau of Program Management
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Mr. Edward Hayes
Deputy Director of Planning and Development
City of Dunkirk
342 Central Ave.
Dunkirk, NY 14048

Dear Mr. Hayes:

This is to acknowledge that the New York State Department of Environmental Conservation (Department) received your request, dated November 7, 2017, for a state acknowledgement letter for United States Environmental Protection Agency (USEPA) Brownfield grant.

I understand that the City plans to submit a Brownfield Assessment Grant proposal in the amount of \$200,000 to conduct a community wide assessment of brownfields within the City. The project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

The Department encourages initiatives to redevelop brownfields with the goal of mitigating any environmental and health impacts that they might pose.

Sincerely,



Laura Zeppetelli
Director
Bureau of Program Management

ec: T. Wesley, USEPA Region 2
M. Cruden/C. Staniszewski, NYSDEC



Department of
Environmental
Conservation

Narrative Proposal/Ranking Criteria

1. COMMUNITY NEED

a. Target Area and Brownfields

i. Community and Target Area Descriptions

The City of Dunkirk is the western most City in New York State and is located in the Southern Tier region of Western New York, within Chautauqua County. It is approximately half-way between Buffalo, New York and Erie, Pennsylvania. The City is a waterfront community established on the shores of Lake Erie.

During the 1840s, Dunkirk began to develop as a shipping port due to the City's large, natural harbor on Lake Erie. As a result, the Erie Railroad selected Dunkirk as its western terminus. Construction of the railroad brought thousands of settlers to the region. Dunkirk became a transportation and shipping center that was well suited for the manufacturing industry. Dunkirk continued to grow, and a locomotive manufacturing facility was built in the City and operated until the end of World War II. The success of the railroad and the development of the steel production industry fostered the development of the City of Dunkirk and created a prospering small City of approximately 20,000 people.

Like many rust-belt cities, the relatively small City of Dunkirk has suffered the consequences of loss of the historical manufacturing base. The global economy changed radically in the 1970s and the costs of doing business escalated, forcing manufacturing out of City, State and country. At this time Dunkirk saw its most drastic population loss at 9.2%. In addition, Dunkirk experienced the outcomes of urban renewal. Many lots were cleared, communities were displaced, and sky-rise, low-income housing was erected. Within the City of Dunkirk, locomotive assembly plants, metal foundries, steel manufacturing plants and metal fabrication facilities formerly employed thousands and occupied what are currently clusters of abandoned or underutilized industrial sites. The City of Dunkirk's Department of Development has estimated that one-half of the City's industrial zoned land is currently non-productive or underutilized. In fact, the number of vacant commercial and industrial parcels throughout the City is second only to those used for residential purposes and continues to grow. As the economic base shifted away from heavy industry, many of the City's businesses and residents moved out of the area.

Today, the City struggles with a numerous amount of brownfield properties located along its prestigious Lake Shore Drive and within its Central Business District (CBD). Redeveloping these sites is detrimental to the future success of the City. Many of these sites have sat vacant for years and have attracted low-income housing, higher crime rates and unattractive main streets. In addition, the City's local hospital, Brooks Memorial Hospital, (an entire city block in the CBD of Dunkirk) has just announced its move to the

Town of Pomfret. This means that those businesses which the hospital supports, will most likely move as well, which means increased vacancy rates in Dunkirk's downtown. Where many waterfront communities thrive, Dunkirk struggles to attract development due to the overpowering amount of brownfield sites which are increasing in count. The specific target area where the City plans to perform assessment activities would be community wide with a focus on the CBD and Waterfront District. In order for the City to attract new residents, businesses, and jobs, the redevelopment of the waterfront and business districts are key.

ii. Demographic Information and Indicators of Need

	City of Dunkirk	New York	National
Population:	12,308 ¹	19,673,174 ¹	316,127,513 ¹
Unemployment:	6.5% ¹	5.2% ¹	8.3% ¹
Poverty Rate:	25% ¹	15.7% ¹	15.5% ¹
Percent Minority:	32.8% ²	43.1% ²	37.8% ²
Hispanic Population:	26.6% ¹	18.4% ¹	17.1% ¹
Median Household Income:	\$38,937 ¹	\$59,269 ¹	\$53,889 ¹

¹ Data are from the 2011-2015 American Community Survey 5-Year Estimates available on American FactFinder at https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml

² Data are from the 2011-2015 American Community Survey 5-Year Estimates available on American FactFinder at https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml

iii. Description of the Brownfields

The proposed project consists of the assessment of brownfield sites in the City of Dunkirk and specifically within the target areas of the Waterfront District and the CBD. Brownfield sites throughout the City have had a negative impact on not only economic development, but on the overall health of the community and their impacts on the environment. Many brownfields include hazardous waste materials on their sites. As an old manufacturing city, homes were located relatively close to manufacturing plants increasing the likelihood of a health impact on the residents surrounding these current brownfield sites.

Many of the City's brownfield sites are located along the Lake Erie waterfront. These brownfields have the possibility of negatively impacting Lake Erie's natural environment through leaching through the soil and contaminating the soil or water. This is a major issue for the City due to the fact that residents receive their drinking water from the Lake. The City also contains many beaches where families swim in and fisherman fish. In order to redevelop these brownfield sites and make sure that no hazardous substances from brownfields are consumed, it is important that the waterfront sites are assessed and cleaned up as soon as possible.

The City intends to complete 15 Phase I Environmental Site Assessments and 4 Phase II ESAs at its highest priority brownfield sites under this grant. The prioritization system developed under the City's Brownfield Opportunity Area (BOA) Program identifies the

most strategic sites for selection for environmental site assessments. This prioritization system takes into account environmental risk assessment factors, physical factors such as size and proximity to commercial or residential areas, and economic development potential. The City has already prepared an inventory of brownfield and other underutilized sites and completed preliminary planning for redevelopment of these properties under New York State's BOA Program and is in the process of finishing phase II of the state grant program. However, this step of the state's program does not provide funding for the completion of environmental site assessments. Therefore, the City intends to leverage the planning activities to be undertaken under the state's program with the assessment activities under the USEPA brownfields assessment grant.

b. Welfare, Environmental, and Public Health Impacts

i. Welfare Impacts

The City of Dunkirk is a community where 25% of its residents are below the poverty level. Due to the large amount of vacant and brownfield sites, these individuals have a hard time finding employment in the City. As a result of dwindling job opportunities, many residents left the area seeking employment. Those that remain in the City continue to experience a large unemployment rate of 6.5%, which is higher than New York's 5.2%. The sites where these businesses stood are now vacant blighted properties and now with the announcement of Brooks Memorial Hospital leaving, an entire City block will be another vacant space in downtown Dunkirk. This not only has a negative effect on the surrounding businesses that rely on the hospital's support, but will also have an extreme negative impact on the overall welfare of a community that is made of a quarter of people below the poverty line and where 14.4% of the community does not own a vehicle and relies on walking, biking, or public transportation.

In addition, these brownfield sites become attractive nuisances to thrill-seeking youth and vandals, as well as for vermin looking for places to inhabit. These sites are dangerous and unattractive, and without action quickly become the nucleus of neglect in a neighborhood. The brownfield sites have also negatively impacted the City's once mainly owned neighborhoods. Today, the City is made up of 60% owner occupied housing and 40% renter occupied housing. With the increase of brownfields, people have moved away only to sell their homes to those that turn the housing into low income rental units and do not take care of the properties. This cycle has brought down the overall value of homes throughout the City and has made it a tough place to attract new homeowners.

ii. Cumulative Environmental Issues

The departure of heavy manufacturing industries and the resulting brownfields have significantly challenged the City's environmental quality. The departure of major industrial employers have created a well-documented legacy of brownfield contamination and serious impacts on health, welfare, and the general quality of life. The City of Dunkirk has a large Hispanic population of 27%, which continues to increase. Brownfields in Dunkirk have negatively impacted this Hispanic population due to the lack of jobs, language barrier,

and poor housing conditions that have resulted from brownfields. More specifically, Dunkirk is seeing an increase in the migrant Hispanic population. This population is having a hard time finding good paying jobs. They therefore must move into low-income housing which is most likely located next to the City's highest density of brownfield sites. The area is struggling to remediate conditions left by the practice of indiscriminate industrial discharge of toxic wastes into Lake Erie and disposal onto local "back lots". Studies of the area's known brownfields have indicated that the most commonly reported contaminants are tetrachloroethene, benzo(a)pyrene, phenol, polychlorinated biphenyls, lead, mercury, and volatile organic compounds which are linked to many health concerns.

In addition to brownfield sites having an impact on low-income neighborhood environments, Many of Dunkirk's brownfield sites are located on the shores of Lake Erie. This is a major environmental issue due to the fact that the City receives all of its drinking water from this source and uses the lake as a place for recreation in the summer. If hazardous materials leach into the soil and into Lake Erie, there are major concerns about the safety of the ecology of the Lake and the impact it has on Dunkirk's drinking water.

iii. Cumulative Public Health Impacts

Health concerns from brownfield contamination are linked to cancers, respiratory disease, heart disease, birth defects, and pregnancy miscarriage. These contaminants leach into the ground water, surface water and air, and place populations at risk of exposure through ingestion, inhalation and dermal contact. Dunkirk includes 24% of its population under the age of 19 and 15% of its population 65 years old and greater. These more sensitive populations are at risk to exposure through their drinking water and through recreational activities such as swimming and fishing. In most cases, property owners, who faced high cleanup costs and other liabilities, abandoned the sites. Many neighboring residents that live next to or near brownfield sites within the City of Dunkirk are low-income and cannot afford to move and therefore are at risk to these health concerns. Without cleanup, the sites remain unsuitable for redevelopment, are community eyesores, and could lead to major health concerns and drain the vitality of the community.

c. Financial Need

i. Economic Conditions

The City of Dunkirk is in need of EPA funding for the community wide assessment of brownfield sites because the BOA Program does not offer funding for the assessment portion of such a large amount of brownfield sites. Brownfields have had a severely negative economic impact on the City of Dunkirk. According to the 2000 US Census, Dunkirk's family poverty rate of 18.22% is double the national average of 9.2%. Additionally, 25% of individuals in Dunkirk live below the poverty level. The median per capita income of \$20,168 is significantly below the state average of \$33,236 and the national average of \$28,930. The median family income for the City of Dunkirk is \$38,937, well below the national average of \$53,482. The loss of manufacturing jobs throughout the City is mimicked by the decrease in the City's population, which experienced a 31

percent decline between 1960 (18,205) and 2014 (12,563). In addition, in 2015 the City's NRG Power Plant stop the production of the coal run plant. This resulted in the mothball of the plant which lead to a significant percentage of community jobs lost and a major reduction in one of the City's largest tax bases. Currently, the company intends to resume production by switching to natural gas. Yet, this will be a long conversion process and not all jobs will be returned to the community. Lastly, this past year Brooks Memorial Hospital announced that it would be leaving the City. Once the hospital leaves, it will impact one entire City block in downtown Dunkirk. This will most likely cause local businesses to relocate outside of the City and create additional vacant and brownfield sites which will have a direct financial impact on the community.

ii. Economic Effects of Brownfields

Like many cities in the northeastern United States, most of the City's major employers have left the area, adversely affecting the City. The exodus of manufacturing jobs also left behind abandoned industrial buildings and brownfields. As discussed above, the City's Department of Development has estimated that approximately one-half of all of the City's industrial zoned land is currently non-productive or underutilized. Tax delinquent properties continue to be a financial burden on the operation of local governments and widen the gap between the tax base and the amount of revenue needed to effectively provide governmental services. The recent instability of the national economy and the limited resources of state and local governments do not allow the funding of brownfield assessments: furthermore, local governments do not have the in-house expertise required to perform these assessments. Many of the city's initiatives are un-funded state mandates which have effectively eliminated discretionary spending: therefore, the resources required to complete environmental investigations and remedial actions are severely limited at this level.

The loss of tax revenues as well as high-paying jobs formerly located on these properties has had a tremendous negative impact on the economic welfare of the City and its residents. Evidence of this is a poverty rate approximately twice the national average. Because of this, the City is burdened with providing additional services to its residents, and has few funds available for non-core services, such as brownfield redevelopment planning, assessment, and remediation. While Dunkirk has inventoried brownfield sites throughout the City a New York State Brownfield Opportunity Area Program grant, the City's brownfield program has limited resources to advance the preliminary redevelopment plans at these sites. This USEPA assessment grant will fill that gap and will be completed in conjunction with the City's BOA program to further the City's recent progress in regards to brownfields.

2. PROJECT DESCRIPTION AND FEASIBILITY OF SUCCESS:

a. Project Description, Redevelopment Strategy, and Timing and Implementation

i. Project Description and Alignment with Revitalization Plans

The City of Dunkirk has been proactive in their approach to dealing with brownfield properties that plague the City. One of the City's first steps toward addressing brownfield sites is the city's involvement in the New York State Brownfield Opportunity Area (BOA) program. The City has completed the first phase of a three phase program, a Step 1 – Pre-Nomination Study and is currently in the process of completing Step 2 – Nomination Study. The Brownfield Opportunity Areas (BOA) Program is designed to assist communities, foster redevelopment, and return dormant and blighted land into productive and catalytic areas while restoring environmental quality. This program provides municipalities and community-based organizations with resources to address local brownfields providing area-wide brownfield redevelopment planning, access to expert environmental and economic analysis, and environmental site assessment for strategic redevelopment parcels. New York State's acceptance of the City into the Brownfield Opportunity Area Program provides evidence of the negative impact that brownfields have on the community.

The City intends to complete approximately 15 Phase I and Phase II Environmental Site Assessments under this grant. The City has already prepared an inventory of brownfield and other underutilized sites and completed preliminary planning for redevelopment of these properties under a State Brownfield Opportunity Area Program, and intends to advance that planning under the next step of the state grant program. However, this step of the state's program does not provide funding for the completion of environmental site assessments. Therefore, the City intends to augment the planning activities to be undertaken under the state's program with the assessment activities under the USEPA brownfields assessment grant.

While some of the sites are not specifically have a redevelopment plans, many of the sites are intended to be developed in the near future. These development plans include, a waterfront hotel and restaurant, mixed use waterfront development, a cold storage warehouse to support the expansion of local Fieldbrook Foods manufacturing company, and mixed use infill development in Dunkirk's Central Business District. These plans have gone through the public participation process and can be found in the BOA Phase II Plan, LWRP, and Comprehensive Plan.

ii. Redevelopment Strategy

Dunkirk's waterfront contains many brownfield sites that were identified in the BOA and LWRP. Through public participation, the City was able to create an economic development strategy for the waterfront. This strategy includes public and private investment projects in order to create a destination place on Lake Erie. Specifically, the City understands the need to conduct Phase I and Phase II EAS studies in order to understand what forms of hazardous materials are present on these waterfront brownfield sites in order to take a proactive approach to attracting developers to redevelop these sites. The redevelopment strategy includes the private investment of hotels, restaurants, mixed use development such as shops, condos, and market rate housing. In addition, Dunkirk's waterfront strategy includes public investment in Dunkirk's Pier, waterfront trails, complete streets, Marina, and Waterfront Park.

iii. Timing and Implementation

(a) To complete the environmental site assessments, the City will solicit RFPs from qualified environmental consulting firms in accordance with local, state and federal procurement procedures, and select the firm(s) that can most effectively and efficiently complete the work. The City has completed such selection processes in the past, for a variety of environmental site assessment projects as well as for larger remedial investigations and for implementation of the Brownfield Opportunity Area Program grant.

(b) The City's completed Step 1 Brownfield Opportunity Area project included significant community outreach, some preliminary planning activities, and the creation of an inventory of brownfield sites throughout the City. The sites on the inventory were identified through interviews with City and County officials; discussions with neighboring property owners; review of environmental database information; and from the community during public meetings held throughout the course of the project. The Pre-Nomination Study identified nine brownfield sites at which contamination has been encountered, as well as 15 additional vacant and underutilized sites for which no environmental information is known.

The City of Dunkirk is currently in the process of finishing Step 2 of the Brownfield Opportunity Area Program. This BOA grant has allowed the City to complete strategic planning on priority sites. Sites will be prioritized based on the readiness and interest of the site. More specifically, Dunkirk's waterfront brownfields will be assessed first because these sites are a part of a redevelopment strategy and have interested developers approaching the City.

(c) Due to their prevalence throughout the City and the severe negative impact these properties have on the vitality of Dunkirk, brownfields are a top priority for the City of Dunkirk, and this grant would be an important piece of the City's brownfield program. The City has created an inventory and prioritization process, and has begun the strategic planning of redevelopment of catalytic sites. All property owners of the brownfield sites have been contacted and have been involved with the BOA Step II process. Many have already agreed to obtaining and securing site access for the assessment of these brownfields. There will not be an issue obtaining and securing site access.

b. Task Descriptions and Budget Table

i. Task Descriptions

Task 1 – Phase I Environmental Site Assessments

This funding will be utilized to assess the priority brownfield sites established through the City's NYS Brownfield Opportunity Area Program and other brownfield projects. As a result of these efforts, the City has begun to assess which parcels will most benefit from assessment. The City intends to utilize the funds to assist private developers interested in redeveloping high priority brownfield sites through no-cost site assessments. This will result in increasing levels of development, employment, and tax revenue. Secondly, the priority of tax delinquent properties will be evaluated in order to identify those sites most

appropriate for foreclosure and transferal to active developers. These actions will also result in revitalization and jobs and also have the significant effect of returning these properties to the tax rolls.

It is the City's intent to complete the Phase I Environmental Site Assessments (ESAs) in accordance with ASTM Standard 1527-05 and USEPAs All Appropriate Inquiry as part of efforts related to the revitalization of the City's inventoried brownfield sites. The City intends to complete Phase I ESAs at as many brownfield properties as possible during this project, and expects to complete approximately 15 Phase I Environmental Site Assessments based on the level of funding required for each site.

The City will hire environmental consultants to conduct the Phase I ESAs. After selecting sites for assessment, the City will oversee the assessments of these sites. The City as administrator will coordinate the retention of qualified consultants to perform the assessments through a publicly advertised bid process, consistent with 40 CFR 31.36. To obtain the best pricing for the assessments, the City intends to group the properties by location when possible. A binding contract for the services to be undertaken will be generated and mutually agreed upon prior to commencing the assessment activities.

The funding requested for this task consists of \$75,000 for consultants fees to conduct the Phase I EASs (\$5,000/site for 15 sites). The Phase I EAS will identify potential or existing environmental contamination liabilities. This cost estimate comes from a firms

Task 2 – Phase II Environmental Site Assessments

Based on strategic planning and the results of previous due diligence efforts and the Phase I Environmental Site Assessments completed under Task 1 of this grant, the City will select approximately four sites for further evaluation via the performance of Phase II Environmental Site Assessments (ESAs). As with Task 1, the City will hire qualified environmental consultants to conduct the Phase II Environmental Site Assessments. The funding requested for this task consists of \$120,000 for consultants fees to conduct the Phase II ESAs (\$30,000/site for 4 sites).

ii. Budget Table

The City is requesting a total of \$200,000 to be used to complete Phase I and II Environmental Site Assessments, as shown below. While USEPA grants can also be used for creating inventories and completing strategic planning, the City has already undertaken these activities under a state grant program, and intends to further these activities under additional state grants. However, funding for environmental site assessments is not available to the City under Steps 1 or 2 of the state program, so the USEPA assessment grant will complement the planning activities undertaken under the state program. Funds will be used for travel to two brownfield conferences during the duration of the grant, supplies for printing materials, public outreach, and press releases, and contractual services to hire a consultant to conduct phase I and phase II assessments.

Budget Categories			
	Task 1	Task 2	Total
Personnel	\$0	\$0	\$0
Fringe Benefits	\$0	\$0	\$0
Travel	\$500	\$500	\$1,000
Equipment	\$0	\$0	\$0
Supplies	\$2,000	\$2,000	\$4,000
Contractual	\$75,000	\$120,000	\$195,000
Other	\$0	\$0	\$0
Total	\$77,500	\$122,500	\$200,000

c. Ability to Leverage

The City of Dunkirk has a history of successful grant management and administration, and leveraging these resources to complete successful projects. The City has also partnered with private entities to create public amenities and viable commercial and industrial businesses. As an example, the City's Department of Development has successfully managed a number of state brownfield grants, including the Brownfield Opportunity Area Program grant mentioned previously in this application, for which the state pays 90 percent and the City used in-kind services to reach its 10 percent match.

The Planning and Development Department is a very small department of three. Although small, the Deputy Director will be in charge of any EPA reports during the duration of the grant. The City is very familiar with leveraging staff hours as matches for grants and will continue to leverage staff hours for administering the EPA Assessment grant. The Deputy Director will spend approximately 7.5 hours/week on administering the grant which equates to \$10,000 of his salary including fringe.

The City understands that brownfield redevelopment projects do not happen on their own, nor can they rely on one funding source to be successful. That is why the City intends to use the USEPA in a manner similar to the examples given above, where a wide variety of local, state, federal, and private resources must be employed to address the needs of an individual site. The City's brownfield planning grant has been and will continue to play a significant role in determining the most effective and efficient use of brownfield funding, and the USEPA assessment grant will be another piece of the puzzle. It is anticipated that for some of the eligible sites, the City will pursue funding from Restore NY, National Grid Brownfields Redevelopment and/or the NY Main Street Grant Programs. For privately owned sites, city staff will work with site owners to identify potential private investors and other assistance that may be available. In addition, the information that is distributed to site owners will identify resources that are available for remediation of contaminated property.

3. COMMUNITY ENGAGEMENT AND PARTNERSHIPS

a. Engaging the Community

i. Community Involvement Plan

Community notification and involvement has been a major focus of the City of Dunkirk throughout the implementation of its brownfields projects. Written Community Participation Plans were developed and successfully implemented during the City's Brownfield Opportunity Area (BOA). This Community Participation Plan can be found within the BOA Plan. During the BOA planning phase, four public workshops took place to receive public input and the public was made aware of the City's intentions to apply for the EPA Assessment grant.

The City of Dunkirk intends to keep the entire community informed regarding the assessment process and to eventually involve them in the process of remedy selection and end use planning. Currently, Step 2 in the BOA has a significant outreach component. The steering committee and public meetings have joint purpose for BOA project updates and input. In the past, public participation was held at City Hall, the Fredonia Technology Incubator, and local high school. Fact sheets, mailings, public announcements, document repository, public meetings, steering committee with municipal official, local businesses, and community leaders are just some of the tools that have been used and will continue to be used in the assessment process.

ii. Communicating Progress

Chautauqua County has developed USEPA and NYSDEC-approved community involvement programs and public participation plans that have been effective in involving the public in a variety of programs including USEPA Brownfield Pilot Grant and cleanup grants, and NYSDEC ERP program Remedial Investigation/Alternative Analysis projects. Chautauqua County has worked closely with the City and stakeholders at the community and neighborhood levels to develop and cultivate a cooperative approach to confronting brownfield projects throughout the City. Within the context of this Brownfield Assessment grant, community involvement will be advanced by:

- Public Information Meetings - 9 public meetings will be held during the three year term of this grant proposal (3/year). These will take place at the high school and Fredonia Incubator.
- Press Releases - News media releases will be utilized to keep the public informed relative to program progress and upcoming meetings. The City's website and facebook pages will be updated with information for the public to follow.
- The contact list will be updated as necessary, with names, addresses and telephone numbers of the public who wish to be involved and informed regarding the Brownfield Coalition Assessment Program from input obtained during the public meetings and outreach program.
- The local community officials such as the tax assessor, building code enforcement officer, having been familiarized with the potential sites within their communities, will continue their involvement by contribution of local effort and site specific knowledge to assist with the evaluation of the candidate site.

- An outreach program will be initiated to identify owners of private properties interested in their sale and/or redevelopment, and who are willing to perform or cooperate with necessary environmental investigations and cleanups. This program will focus on utility companies, developers and other major landholders in the county. The contact list will be updated with names, addresses and telephone numbers of the property owners who wish to be involved and informed regarding the community wide Brownfield Assessment Program.

From Phase I and Phase II EASs conducted as a component of the Brownfield Assessment Grant through remedial and end use planning, the City will seek the involvement of local residents, businesses, community groups, municipal agencies and officials, and other stakeholders. The City will also have all materials translated in Spanish for the Hispanic population.

b. Partnerships with Government Agencies

i. Local/State/Tribal Environmental Authority

The City of Dunkirk has worked closely with stakeholders at the local and State levels to develop and cultivate a cooperative approach to confronting brownfield projects throughout the city. Therefore, the County will act as a one of the City's partnerships in the assessment grant. NYS Department of Health and NYSDEC personnel have visited many of Chautauqua County's brownfield project sites and have been involved with the review of key planning components, including the development and/or review of the proposed remedy for cleanup projects. As a result, Chautauqua County has developed an effective collaborative relationship with the NYS Department of Health and NYSDEC, and plans to carry forward this relationship for the Brownfield Assessment Grant. This level of cooperation is evidenced by the success of Chautauqua County's past Brownfield Program and by the letters of support that are included in the application.

The Chautauqua County Department of Environmental Health and the New York State Departments of Health and Environmental Conservation will be asked to participate in the public meetings and play a significant role in the Brownfield Assessment program. The City's intent is to leverage the knowledge and capacity of these agencies related to health threats; and strategies for remediation. The Environmental Health Division of the Chautauqua County Health Department maintains extensive records on the spills and hazardous materials response actions and the County's brownfield sites and provides professional staff to respond to environmental health issues. The NYSDEC manages comprehensive Brownfields Cleanup and Spill Response Programs, while the NYSDOH reviews and approves the proposed remedies and environmental monitoring during remedial activities.

For the proposed project, at the local level, the City's Planning and Development Department will administer the grant and hire a consultant to conduct Phase I and Phase II Assessments. The Planning and Development Department will work with Chautauqua County to help market the sites. The City's planning board will review all site plans and if

required will go to the county planning board for review. Depending upon the contamination level on the site, the City will work with the New York State DEC to determine a remediation plan in order to cleanup any contamination on the site. The City will also continue to work with the DEC during the remediation work on the site to make sure that the cleanup goes as planned. The City has a good working relationship with the DEC and is currently working on site cleanup at the Lukas Avenue site within the City.

ii. Other Government Partnerships

EPA will be a main government partnership for the assessment grant. Without the partnership between the City and the EPA, the funding for the proposed community-wide assessment grant would not be possible. The City looks forward to the possibility of funding for its large amount of brownfield sites. The City will work hand in hand with the EPA to implement a successful assessment project.

In addition, many local government leaders are in support of the proposed project. These leaders include Senator Catherine Young and Congressman Thomas Reed. Both government leaders understand the need for assessment and cleanup of the many brownfield sites in Dunkirk. They are both in support of the grant application and would like to see these sites assessed in order to better the health and environment of the community. Both letters of support can be seen attached.

c. Partnerships with Community Organizations

i. Community Organization Description & Role

The City of Dunkirk has an active BOA Phase II Program that reached out to many community organization for partnership on vision and landuse that these organizations would like to see on these sites. These organizations have given input on economic development, health, and environmental remediation of the study's 15 sites. The City has received support letters (attached) from the following groups; SUNY Fredonia, Senator Young, CCIDA, Congressman Reed, Revitalize Dunkirk, Dunkirk Chamber of Commerce, Chautauqua County Executive Horrigan, Chautauqua County Planning and Development, Brooks Memorial Hospital, and Southern Tier West.

Community organizations will be invited to participate in a brownfield redevelopment subcommittee. This subcommittee is a branch of the council's economic development committee and will meet on a bi monthly basis. Community organizations such as revitalize Dunkirk, Hispanic Opportunities for Progress and Education (H.O.P.E.), and the Fredonia Incubator are some organizations that will play a large role in guiding redevelopment of the brownfield sites and interacting with the consultants during the assessments. Fredonia Incubator will lend their conference room for these meetings and H.O.P.E. will provide translation services to the Hispanic Community.

ii. Letters of Commitment

Letters of commitment can be found in the attached documents. These letters discuss the support for the City of Dunkirk's proposed assessment grant application and affirm their roles and commitments to the planning and implementation of the project.

d. Partnerships with Workforce Development Programs

The City of Dunkirk is committed to hiring local consultants for the potential employment in brownfield assessment. In addition, the City will work with Chautauqua Opportunities to see if there are any candidates that would be able to work with the consultants during the Phase I and Phase II assessments. This environmental workforce development and job training program would recruit, train, and place local, unemployed and under-employed residents with the skills needed to secure full-time employment in the environmental field, with a focus on solid and hazardous waste remediation, environmental health and safety, and wastewater-related training. The City will also partner with the high school and Jamestown Community College to get students involved and allow them to shadow the consultants when they are out in the field.

4. PROJECT BENEFITS

a. Welfare, Environmental, and Public Health Benefits

One of the major welfare issues that have resulted from brownfields is the decrease in jobs. With the community wide assessment grant, Phase I and Phase II EASs will be conducted and will begin the redevelopment process. Once contaminants are identified and a remediation plan is determined to clean up the site, developers will need to hire consultants and contractors. This will lead to construction jobs coming back to the City of Dunkirk. Once the project is complete, in the case of the hotel development and restaurant, new hospitality jobs and restaurant jobs will be created. Therefore the project will be a benefit to those looking for jobs in the community.

In addition, the Brownfield Assessment Program will result in the identification of potential threats to human health and the environment posed at numerous sites throughout the City. This is the first step in eliminating or mitigating potential contaminants and/or potential threats to human health and the environment. As an outcome of the Brownfield Assessment Grant, community residents will experience a number of health benefits through reduced exposure to contaminants. People will now live in healthier neighborhoods and will be able to partake in water recreation with less of a concern that there may be hazardous substances leached into Lake Erie or their drinking water.

In addition to these chemical hazards, the abandoned brownfield sites throughout the City poses numerous physical hazards. These dilapidated structures act as attractive nuisances for neighborhood children despite the best efforts of municipal leaders and parents to keep the children out of these buildings. The assessment and successful redevelopment of brownfield sites throughout the City will result in a much safer environment and will help to keep these children out of harm's way.

b. Economic and Community Benefits

The Community Wide EPA Assessment grant will greatly benefit the City of Dunkirk's future economy. With the help of Phase I and Phase II EASs, the brownfield sites surrounding Dunkirk's waterfront will be one step closer to redevelopment. In some cases, this is the push needed to attract developers to the City. The assessments will show developers that the City is serious about brownfield redevelopment and that having this step complete will be one less study the developers will have to pay for.

Dunkirk's BOA study and waterfront development plan have already attracted developers to begin looking at the land. The assessments will lead to redevelopment of the waterfront which will not only attract tourists to Dunkirk's Harbor, but also create jobs, create new attractions, and new recreation for its residents. The City of Dunkirk is in the process of leasing waterfront property to develop a hotel and restaurant. One of the stipulations in the lease is that the City conduct a Phase I and Phase II study. Funding for the Phase I and Phase II study will help implement this development and improve the local economy.

5. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE

a. Audit Findings

The City of Dunkirk has had past audit findings in its CDBG funding provided through HUD. In order to clear up findings, the City worked with HUD to pay back any funds that were used incorrectly and continues to work with HUD on any past reporting issues.

b. Programmatic Capability

While the City of Dunkirk has never received an EPA brownfields grant, the City has experience administering a wide variety of state and federal grants. As a Department of Housing and Urban Development entitlement community, the City receives an annual allotment of Community Development Block Grant (CDBG) funds to manage and disburse. As such, the City has developed a system of checks and balances in place that we integrate all funding into to insure that the City of Dunkirk is an appropriate steward of grant dollars.

The City of Dunkirk's Project Manager will be the Director of Planning and Economic Development, Rebecca Yanus. Ms. Yanus has extensive experience managing both federally and non-federally funded grant programs. Recent examples include the CDBG Program, the BOA Phase II grant, grants from the New York State Parks and Recreation Dept, Department of State, and Department of Transportation, to mention a few. Other key personnel involved in the management of grants for the City of Dunkirk are Christina Lincoln, Grant Administrator, Mark Woods, City Treasurer and Rich Halas, Fiscal Affairs Officer. These employees work as a team so if any employee turnover does occur, others have the knowledge and experience to immediately step into the breach and take control.

When additional expertise is required during the assessment of brownfields, the City will use a competitive procurement process, consistent with 40 CFR 31.36, to select its contractor to assist with environmental assessments or complete other necessary activities.

c. Measuring Environmental Results: Anticipated Outputs/Outcomes

If funded, the City will work on a reasonable plan to track, measure, and evaluate the progress of the community-wide site assessment. This will include a partnership with the NYS DEC on measuring any environmental results. The City anticipates that from the community-wide assessment, the program will position the City in an opportune setting to market the brownfield sites to developers, which can take the next steps in cleaning up the sites for tax incentives provided by New York State for the redevelopment of brownfield sites.

d. Past Performance and Accomplishments

ii. Has Not Received an EPA Brownfields Grant but has Received Other Federal or Non-Federal Assistance Agreements

As discussed above, the City has not received a USEPA brownfields grant before. However, the City has successfully obtained and managed many state and federal grants in the past and has quite a few in the future that have been awarded. These include:

- US Department of Housing and Urban Development CDBG Grant – Approximately \$400,000 annually – The City of Dunkirk is routinely monitored under this program with the most recent form review conducted in 2015.
- New York State Department of State Brownfield Opportunity Area – \$70,000 – The City successfully completed a Pre-Nomination Study under this grant program within budget and on time.
- New York's State DOS BOA Phase II - \$300,000 grant for the City to complete Step 2 of the program, which is nearing completion.
- New York State Department of Environment Conservation Environmental Restoration Program Grant – \$106,000 – The City successfully completed the investigation of the Niagara Motors Site under this state reimbursement program. The work also included the removal of two underground storage tanks.
- OPRHP Wright Park Improvements – \$450,000 grant which architect procurement will begin in January.
- ESD Sea Wall Reconstruction - \$341,000 which has been completed and project is now in the process of closeout.
- DASNY Point Gratiot Park Improvements - \$500,000 grant which will begin in January.
- LISC Zombie Grant - \$125,500 grant which will begin in January.
- NYS LWRP Comprehensive Plan - \$45,000 grant with match for an update to the City's 1970s plan. Waiting on contract materials.

For each of these grant programs, the City met project schedules and budgets, and no adverse findings were identified.



Southern Tier West Regional Planning & Development Board

Robert C. Keis, Chairman
Richard T. Zink, Executive Director

November 14, 2017

EPA Region 2
Lya Theodoratos
290 Broadway; 18th Floor
New York, NY 10007

Dear Mrs. Theodoratos:

Southern Tier West fully supports the City of Dunkirk in their applying for the FY18 Brownfields Assessment Grant through the Environmental Protection Agency. It is our understanding that the City is applying for \$200,000 of grant funds to conduct a community wide assessment of brownfields within the City. We also understand, that the project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

Southern Tier West has worked with the City of Dunkirk on many projects of the past several years and have seen firsthand the many brownfield sites that must be addressed as the City looks to move forward in their development efforts. As you are aware the City is currently going through a Phase II Brownfield Opportunity Area (BOA) Study, which focuses on 14 brownfield areas that have been determined the best locations for clean-up and redevelopment. The goals of the study include defining what uses are best fit for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment. This assessment grant will help further this vision by promoting the environment and health, along with increased economic development opportunities.

The EPA Assessment Grant will allow for an assessment of the community's brownfields and determining the next steps that need to be taken for clean-up of the hazardous materials on the sites. Grant funding is needed for these next steps in brownfield redevelopment. Please consider the City of Dunkirk's Assessment Grant application in order to assist them in their commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

If you have any questions or concerns, please contact me at rzink@southerntierwest.org or (716) 945-5301 ext. 2203.

Sincerely,

Richard T. Zink
Executive Director



November 14, 2017

EPA Region 2
Lya Theodoratos
290 Broadway; 18th Floor
New York, NY 10007

Dear Mrs. Theodoratos:

The City of Dunkirk is in the process of applying for the FY17 Brownfields Assessment Grant through the Environmental Protection Agency. A \$200,000 grant is being requested to conduct a community-wide assessment of brownfields within the City. The focus of the project will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

Currently, the City is undergoing a Phase II Brownfield Opportunity Area (BOA) Study, which focuses on 14 brownfield sites that have been identified for cleanup and re-development. The goals of this study include defining the best reuse for each site, attracting appropriate developers to the area, and developing land use and design standards that will help guide re-development. A Brownfields Assessment Grant is necessary to continue the progress made toward the goal of enhancing the environmental safety, health, and well-being of the community. It is clear that remediation and development of environmentally impacted areas is critically important for the economic revitalization in the City of Dunkirk.

The EPA Assessment Grant is necessary to ensure proper and comprehensive assessment of the community's brownfields, and to identify the critical next steps for remediation of the hazardous materials on the impacted sites. The SUNY Fredonia Technology Incubator is located at the foot of the City's Waterfront District and Business District and we look forward to the redevelopment of these key sites. The State University of New York at Fredonia strongly supports the City of Dunkirk's Assessment Grant application and applauds the city's commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Kevin P. Kearns', written over a horizontal line.

Kevin P. Kearns, Ph.D.,
Vice President for Engagement & Economic Development

DIVISION OF ENGAGEMENT AND ECONOMIC DEVELOPMENT

CHAIR
FINANCE

STANDING COMMITTEES
HOUSING
TRANSPORTATION

THE SENATE
STATE OF NEW YORK



CATHARINE M. YOUNG
SENATOR
57TH DISTRICT

ALBANY OFFICE
ROOM 428
STATE CAPITOL
ALBANY, NEW YORK 12247
(518) 455-3563
FAX: (518) 426-6905

DISTRICT OFFICE
WESTGATE PLAZA
700 W. STATE STREET
OLEAN, NEW YORK 14760
(716) 372-4901
FAX: (716) 372-5740
1-800-707-0058

November 9, 2017

Mrs. Lya Theodoratos
Environmental Protection Agency, Region 2
290 Broadway; 18th Floor
New York, New York 10007

Dear Mrs. Theodoratos,

This letter is in strong support of the City of Dunkirk's application for the FY18 Brownfields Assessment Grant through the Environmental Protection Agency. The City of Dunkirk is applying for \$200,000 of federal funds to conduct a community wide assessment of brownfields. The project's focus will be an assessment of sites contaminated by hazardous substances, pollutants, or contaminants, including hazardous substances co-mingled with petroleum.

Having worked very closely with the City of Dunkirk over the last several years, I am very excited to see the great progress they have made in growth and redevelopment. It is crucial to secure these clean up dollars so the City can continue to build on redevelopment momentum.

Unfortunately, the City of Dunkirk has many brownfield sites. Currently, the City is in the process of wrapping up their Phase II Brownfield Opportunity Area (BOA) Study, which has focused on 14 brownfield areas that have been determined the best locations for clean-up and redevelopment. The goals of that study included defining what uses are the best fit for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment. This assessment grant will help further this vision by promoting safe environment and health standards, along with increased economic development opportunities.

The City is in the final stages of adopting their BOA Plan and will soon be receive their official designation, which will allow grant funding is needed for these next steps in brownfield redevelopment.

It is my hope the City of Dunkirk's Assessment Grant application will receive your full consideration in order to further assist them in their commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

Please accept my best wishes. If I can be of further assistance, please do not hesitate to contact me.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Catharine M. Young', written over a large, stylized blue circular mark.

Catharine M. Young
Senator, 57th District

CMY/lv



County of Chautauqua Industrial Development Agency

Board of Directors

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Chairman
Vice President -
Blackstone Advanced
Technologies LLC

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Executive Director -
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Association

David Bryant
Consultant

Kelly Farrell DuBois
Owner -
Hope's Windows Inc.

November 7, 2017

EPA Region 2
Lya Theodoratos
290 Broadway; 18th Floor
New York, NY 10007

Dear Mrs. Theodoratos,

The City of Dunkirk is in the process of again applying for the FY18 Brownfields Assessment Grant through the Environmental Protection Agency. The City of Dunkirk is applying for \$200,000 of federal grant funds to conduct a community wide assessment of brownfields within the City. The project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

County of Chautauqua Industrial Development Agency works tirelessly with the City of Dunkirk to bring new investment in to the City. The cleanup and redevelopment of these key sites in the City are essential for the long term success of the City and the County.

The City of Dunkirk unfortunately has many brownfield sites. Currently the City is in the process of wrapping up their Phase II Brownfield Opportunity Area (BOA) Study, which has focused on 14 brownfield areas that have been determined the best locations for clean-up and redevelopment. The goals of the study included defining what uses are best fit for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment. This assessment grant will help further this vision by promoting the environment and health, along with increased economic development opportunities.

The City is in the final stages of adopting their BOA Plan and will soon be receive their official designation which will allow grant funding is needed for these next steps in brownfield redevelopment. We ask that you please consider the City of Dunkirk's Assessment Grant application in order to further assist them in their commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

Sincerely,

Kevin M. Sanvidge
County of Chautauqua Industrial Development Agency
Administrative Director/CEO

TOM REED
23RD DISTRICT, NEW YORK

COMMITTEE ON
WAYS AND MEANS
SUBCOMMITTEES:
HUMAN RESOURCES
SELECT REVENUE MEASURES
SOCIAL SECURITY

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON, DC OFFICE
2437 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3223
PHONE: (202) 225-3161
www.Reed.House.gov

November 9, 2017

Ms. Lya Theodoratos
290 Broadway, 18th Floor
New York, New York 10007

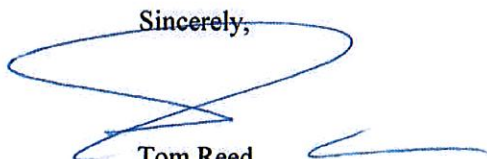
Dear Ms. Theodoratos,

I write in support of the City of Dunkirk's application to the Environmental Protection Agency for a FY 2018 Brownfields Assessment Grant to conduct a community wide assessment of brownfield sites throughout the city.

The project's focus will be the assessment of sites contaminated by hazardous substances, pollutants, or contaminants, including hazardous substances co-mingled with petroleum. The city is wrapping up its Phase II Brownfield Opportunity Area study, which focuses on fourteen areas identified as best locations for clean-up and redevelopment. The assessment will help define best uses for the sites and to develop land use standards to help guide redevelopment.

I urge full and fair consideration of the City of Dunkirk's application for a Brownfields Assessment Grant. Please do not hesitate to contact my office if you need more information.

Sincerely,



Tom Reed
Member of Congress

TR:jmk

REVITALIZE DUNKIRK, INC.

P.O. Box 107
Dunkirk, NY 14048
November 10, 2017

Environmental Protection Agency, Region 2
Attn: Lya Theodoratos
290 Broadway, 18th Floor
New York, NY 10007

Dear Ms. Theodoratos,

The city of Dunkirk, New York, is again applying for FY18 Brownfields Assessment Grant funds through the Environmental Protection Agency. Its current application for \$200,000 is for conducting a community-wide assessment of the brownfields within the city. The project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or other contaminants (including hazardous substances co-mingled with petroleum).

Revitalize Dunkirk is a civic organization committed to bringing planning ideas for improved urban living to residents and elected officials of the city of Dunkirk to preserve the historic heritage, enhance the physical infrastructure, reinvigorate commerce, and boost community pride and vision, with the goal of improving the quality of life for our residents. As such, we whole-heartedly support the city efforts to clean up these long dormant properties for redevelopment.

Unfortunately, Dunkirk has many brownfield sites. Currently city officials are in the process of wrapping up a Phase II Brownfield Opportunity Area (BOA) Study which has focused on 14 brownfield areas that have been determined to be the best locations for clean-up and redevelopment. The goals of the study included defining what uses are best fits for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment.

Dunkirk is in the final stages of adopting its BOA Plan and will soon receive the official designation which will allow grant funding that is needed for these next steps in brownfield redevelopment. If approved, the application for Fiscal Year 18 Brownfields Assessment Grant will help further this vision by promoting the environment and health of the community, along with increased economic development opportunities.

Revitalize Dunkirk strongly supports the city of Dunkirk in its quest for funding and asks that you favorably consider its Assessment Grant application to further assist in its commitment to the environment, future economic opportunities, and quality of life in the city of Dunkirk.

Sincerely,

/S/

The Board of Directors
Revitalize Dunkirk

C:



Dunkirk Community Chamber of Commerce

An Affiliate of the Chautauqua County Chamber of Commerce

10785 Bennett Road, Dunkirk, NY 14048

Phone: 366-6200

November 7, 2017

EPA Region 2

Lya Theodoratos

290 Broadway; 18th Floor

New York, NY 10007

Dear Mrs. Theodoratos,

The City of Dunkirk is in the process of again applying for the FY18 Brownfields Assessment Grant through the Environmental Protection Agency. The City of Dunkirk is applying for \$200,000 of federal grant funds to conduct a community wide assessment of brownfields within the City. The project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

The Chautauqua County Chamber of Commerce is extremely invested in the redevelopment of the City of Dunkirk and we understand that a strong City of Dunkirk makes for a stronger Chautauqua County economy.

The City of Dunkirk unfortunately has many brownfield sites. Currently the City is in the process of wrapping up their Phase II Brownfield Opportunity Area (BOA) Study, which has focused on 14 brownfield areas that have been determined the best locations for clean-up and redevelopment. The goals of the study included defining what uses are best fit for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment. This assessment grant will help further this vision by promoting the environment and health, along with increased economic development opportunities.

The City is in the final stages of adopting their BOA Plan and will soon be receive their official designation which will allow grant funding is needed for these next steps in brownfield redevelopment. We ask that you please consider the City of Dunkirk's Assessment Grant application in order to further assist them in their commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

Sincerely,

David F. Kleparek, Coordinator, Dunkirk Community Chamber of Commerce



VINCENT W. HERRIGAN
County Executive

**CHAUTAUQUA COUNTY
OFFICE OF THE COUNTY EXECUTIVE**

Gerace Office Building – 3 N. Erie St. – Mayville, NY 14757-1007
(716) 753-4211 – FAX (716) 753-4756 – herriganv@co.chautauqua.ny.us -
www.co.chautauqua.ny.us

November 6, 2017

EPA Region 2
Lya Theodoratos
290 Broadway; 18th Floor
New York, NY 10007

Dear Mrs. Theodoratos,

The City of Dunkirk is in the process of again applying for the FY18 Brownfields Assessment Grant through the Environmental Protection Agency. The City of Dunkirk is applying for \$200,000 of federal grant funds to conduct a community wide assessment of brownfields within the City.

The City of Dunkirk is key to a healthy Northern Chautauqua County economy and will be a driving force for growth for the rest of our communities throughout the County. The project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

The City of Dunkirk unfortunately has many brownfield sites. Currently the City is in the process of wrapping up their Phase II Brownfield Opportunity Area (BOA) Study, which has focused on 14 brownfield areas that have been determined the best locations for clean-up and redevelopment. The goals of the study included defining what uses are best fit for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment. This assessment grant will help further this vision by promoting the environment and health, along with increased economic development opportunities.

The City is in the final stages of adopting their BOA Plan and will soon be receive their official designation which will allow grant funding is needed for these next steps in brownfield redevelopment. We ask that you please consider the City of Dunkirk's Assessment Grant application in order to further assist them in their commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

Respectfully yours,

A handwritten signature in blue ink, appearing to read "Vincent W. Herrigan", with a long horizontal flourish extending to the right.

Vincent W. Herrigan
Chautauqua County Executive



Chautauqua County

DEPARTMENT OF PLANNING AND ECONOMIC DEVELOPMENT

201 W. Third Street, Suite 115 • Jamestown, New York 14701

Phone (716) 661-8900 • Fax (716) 661-8145 • www.planningchautauqua.com

November 7, 2017

EPA Region 2
Lya Theodoratos
290 Broadway; 18th Floor
New York, NY 10007

Dear Mrs. Theodoratos,

The City of Dunkirk is in the process of again applying for the FY18 Brownfields Assessment Grant through the Environmental Protection Agency. The City of Dunkirk is applying for \$200,000 of federal grant funds to conduct a community wide assessment of brownfields within the City. The project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

Chautauqua County Department of Planning and Development has been working closely with the City of Dunkirk on our LWRP and we understand how important cleanup and redevelopment of the brownfield areas along the waterfront are for the long term environmental and economic health of the City.

The City of Dunkirk unfortunately has many brownfield sites. Currently the City is in the process of wrapping up their Phase II Brownfield Opportunity Area (BOA) Study, which has focused on 14 brownfield areas that have been determined the best locations for clean-up and redevelopment. The goals of the study included defining what uses are best fit for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment. This assessment grant will help further this vision by promoting the environment and health, along with increased economic development opportunities.

The City is in the final stages of adopting their BOA Plan and will soon be receive their official designation which will allow grant funding is needed for these next steps in brownfield redevelopment. We ask that you please consider the City of Dunkirk's Assessment Grant application in order to further assist them in their commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

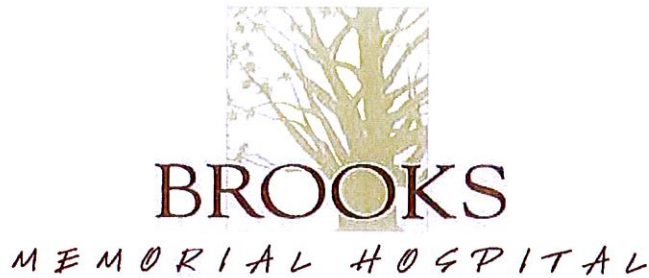
Sincerely,

A handwritten signature in black ink, appearing to read "Donald McCord".

Donald McCord, Deputy Director
Department of Planning and Economic Development

Kevin M. Sanvidge, Director
SanvidgK@co.chautauqua.ny.us

Vincent W. Horrigan, County Executive
HorriganV@co.chautauqua.ny.us



November 7, 2017

EPA Region 2
Lya Theodoratos
290 Broadway; 18th Floor
New York, NY 10007

Dear Mrs. Theodoratos,

The City of Dunkirk is in the process of again applying for the FY18 Brownfields Assessment Grant through the Environmental Protection Agency. The City of Dunkirk is applying for \$200,000 of federal grant funds to conduct a community wide assessment of brownfields within the City. The project's focus will be on the assessment of sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum).

Brooks Memorial Hospital has a rich tradition with the City of Dunkirk and we are very supportive of redevelopment of these sites throughout the City.

The City of Dunkirk unfortunately has many brownfield sites. Currently the City is in the process of wrapping up their Phase II Brownfield Opportunity Area (BOA) Study, which has focused on 14 brownfield areas that have been determined the best locations for clean-up and redevelopment. The goals of the study included defining what uses are best fit for the sites, attracting the right developers to the area, and developing land use and design standards that will help guide redevelopment. This assessment grant will help further this vision by promoting the environment and health, along with increased economic development opportunities.

The City is in the final stages of adopting their BOA Plan and will soon be receive their official designation which will allow grant funding is needed for these next steps in brownfield redevelopment. We ask that you please consider the City of Dunkirk's Assessment Grant application in order to further assist them in their commitment to the environment, future economic opportunities, and quality of life in the City of Dunkirk.

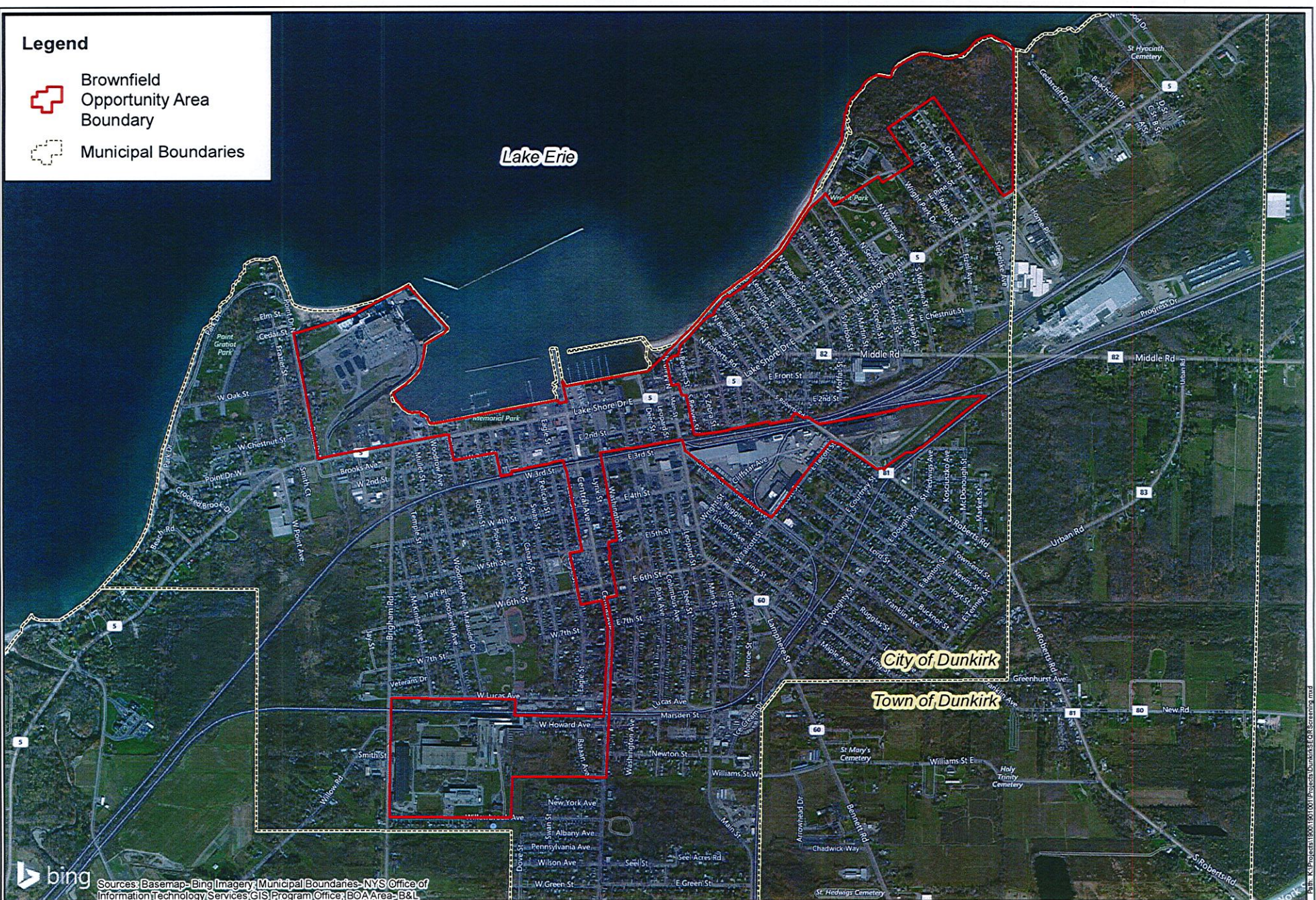
Sincerely,

Mary E. LaRowe
President & CEO

Legend

-  Brownfield Opportunity Area Boundary
-  Municipal Boundaries

Lake Erie



Sources: Basemap-Bing Imagery; Municipal Boundaries-NYS Office of Information Technology Services GIS Program Office; BOA Area-B&L

Barton
& Loguidice, D.P.C.

Engineers • Environmental Scientists • Planners • Landscape Architects



1 inch = 2,000 feet

City of Dunkirk

Town of Dunkirk

City of Dunkirk

Brownfield Opportunity Area

Chautauqua County

November 2017

New York

Figure
1
Project
No.
1901.001

Path: K:\Projects\11\2017\1001\1001\Project\Output\BOA\BOA_Schematic.mxd

Application for Federal Assistance SF-424

* 1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

* 2. Type of Application:

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

11/15/2017

4. Applicant Identifier:

City of Dunkirk

5a. Federal Entity Identifier:

City of Dunkirk

5b. Federal Award Identifier:

City of Dunkirk

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

City of Dunkirk

* b. Employer/Taxpayer Identification Number (EIN/TIN):

16-002540

* c. Organizational DUNS:

0941763100000

d. Address:

* Street1:

342 Central Ave.

Street2:

* City:

Dunkirk

County/Parish:

Chautauqua

* State:

NY: New York

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

14048-2122

e. Organizational Unit:

Department Name:

Planning and Development

Division Name:

City of Dunkirk

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

* First Name:

Rebecca

Middle Name:

Marie

* Last Name:

Yanus

Suffix:

Title:

Director of Planning and Development

Organizational Affiliation:

City of Dunkirk

* Telephone Number:

716-366-9879

Fax Number:

716-366-2049

* Email:

ryanus@cityofdunkirk.com

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

Environmental Protection Agency

11. Catalog of Federal Domestic Assistance Number:

66.818

CFDA Title:

Brownfields Assessment and Cleanup Cooperative Agreements

* 12. Funding Opportunity Number:

EPA-OLEM-OBLR-17-07

* Title:

FY18 GUIDELINES FOR BROWNFIELDS ASSESSMENT GRANTS

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

1236-Brownfield Assessment Area.pdf

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

City of Dunkirk Community-Wide Brownfield Assessment Project

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

23

* b. Program/Project

23

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

06/01/2018

* b. End Date:

06/01/2020

18. Estimated Funding (\$):

* a. Federal	200,000.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	200,000.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.☒ c. Program is not covered by E.O. 12372.*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

Ms.

* First Name:

Rebecca

Middle Name:

Marie

* Last Name:

Yanus

Suffix:

* Title:

Director of Planning and Development

* Telephone Number:

716-366-9879

Fax Number:

716-366-2049

* Email:

ryanus@cityofdunkirk.com

* Signature of Authorized Representative:

Rebecca M Yanus

* Date Signed:

11/15/2017